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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Initial Patent Examination Division, on:

By: Cathy Dunne  
Cathy Dunne

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

This communication is in response to the *Notice to File Missing Parts of Nonprovisional Application* mailed April 25, 2001 in the above-referenced U.S. patent application.

The Notice to File Missing Parts states that the basic filing fee and declaration are missing and must be submitted before the two-month deadline of June 25, 2001.

Applicants respectfully submit that on November 22, 2000, the subject application was filed complete with the filing fee and the Declaration and Power of Attorney, duly executed by the applicants. Copies of the following are enclosed:

- Return receipt postcard date stamped November 22, 2000, confirming that Applicant's check for the filing fee & Assignment recordal fee and the Declaration were received by the U.S. Patent and Trademark Office on November 22, 2000;
- Applicant's transmittal letter mailed on November 22, 2000 confirming the mailing of the filing fee and Declaration;
- Cancelled check no. 6095 dated November 22, 2000 in the amount of \$467.00 to cover the filing fee and Assignment recordal fee;
- Declaration and Power of Attorney filed on November 22, 2000; and
- PTO Formalities Letter dated April 25, 2001.

Applicants' undersigned attorney's office has telephoned the Initial Patent Examination Division in an attempt to understand why the Notice to File Missing Parts has been issued. The Initial Patent Examination Division has stated that the computer shows the subject file to be with the PCT Branch, but it is not understood why. Applicants note that the application was filed as a *continuation* of a PCT application, and not entered as a national stage filing in the U.S. of the international application (see Applicants' transmittal letter enclosed). In a telephone call with the Initial Patent Examination Division on May 10, 2001, Applicants' undersigned attorney's office was told to respond directly with the Initial Patent Examination Division concerning the Notice to File Missing Parts.



Having complied with all of the requirements of 37 C.F.R. Section 1.53, Applicants respectfully request that this application be placed upon the files for examination.

Respectfully submitted,

A handwritten signature in black ink, appearing to be "Barry R. Lipsitz", written over a horizontal line.

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

Date: May 11, 2001  
ATTORNEY DOCKET NO.: SOL-134



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**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir: Please acknowledge receipt of the following papers by stamping and returning this card.

Attorney Docket No.: SOL-134  
Applicant(s): WONG, et al.  
Filed: Herewith

Title: PRE-PROCESSED INFORMATION EMBEDDING SYSTEM

- ☒ Check in the amount of \$467.00 (filing fee and Assignment recordal fee)
- ☒ Express Mail Certificate (No. EL 628 666 912 US)
- ☒ Application Transmittal Letter - 3 pages
- ☒ Patent Application Specification, including Abstract and claims - 42 pages
- ☒ Twelve (12) sheets of formal drawings, together with transmittal letter.
- ☒ Declaration and Power of Attorney
- ☒ Assignment, together with PTO Form 1595

Attorney: B. R. Lipsitz

Mailed: November 22, 2000





LAW OFFICES  
**BARRY R. LIPSITZ**  
BRADFORD GREEN, BUILDING 8  
755 MAIN STREET  
MONROE, CONNECTICUT 06468

PATENTS, TRADEMARKS, COPYRIGHTS

TELEPHONE: (203) 459-0200  
FAX: (203) 459-0201

BARRY R. LIPSITZ  
DOUGLAS M. McALLISTER

**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

***REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION***

Sir:

This is a request for filing a **continuation** application under 37 C.F.R. 1.53(b), of pending prior international application number **PCT/US99/11526** filed on **May 25, 1999**

which designated the United States.

Inventor(s): (a) Douglas WONG  
11053 Ironwood Road  
San Diego, California 92131, U.S.A.  
Citizenship: U.S.A.  
  
(b) Chong U. LEE  
11710 Alderidge Lane  
San Diego, California 92131, U.S.A.  
Citizenship: U.S.A.

Title: PRE-PROCESSED INFORMATION EMBEDDING SYSTEM

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - 42 pages
- (2) ☒ Twelve (12) sheets of formal drawings, together with transmittal letter
- (3) ☒ A check in the amount of \$467.00 to cover the ☒ filing fee (\$427.00 ) and/or ☒ Assignment Recordal Fee (\$40.00) is enclosed.
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed.

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**Box Patent Application**  
**Commissioner for Patents**  
**Page 2**

Basic Fee								\$355.00
Multiple Dependent Claims (\$135.)								0
Foreign Language Surcharge (\$130.)								0
	For	No. Filed	-	No. Extra		Rate		0
EXTRA	Total Claims	28	20	8	x	\$9.	=	72.00
CLAIMS	Independent Claims	3	3	0	x	\$40.	=	0
TOTAL FILING FEE								= \$427.00

- ☒ [ X ] The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.
- (4) ☒ [ X ] Declaration and Power of Attorney form
- a. ☒ [ X ] Newly executed
  - b. ☐ [ ] Copy from a prior application
  - c. ☐ [ ] Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☒ [ X ] The Assignee claims Small Entity Status

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ [ X ] Assignment document
- a. ☒ [ X ] Newly executed (with \$40.00 recordal fee) and separate transmittal Forms PTO-1595
  - b. ☐ [ ] Copy from a prior application
- (7) ☐ [ ] Preliminary Amendment
- (8) ☐ [ ] Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ [ ] Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ [ X ] Return receipt postage prepaid postcard
- (11) ☒ [ X ] Express Mail Certificate (Mailing Label No. EL 628 666 912 US)

**Box Patent Application**  
**Commissioner for Patents**  
**Page 3**

(12) ☐ Other:

a. ☐

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(13) ☒ Please amend the specification by inserting before the first line the sentence:

**"This application is a continuation of international application number  
PCT/US99/11526 filed May 25, 1999."**

(14) ☒ The benefit of U.S. provisional application no. 60/087,017 filed May 28, 1998 is  
claimed under 35 U.S.C. 119(e).

(15) ☒ Correspondence address:  
Barry R. Lipsitz  
Law Offices of Barry R. Lipsitz  
755 Main Street, Building 8  
Monroe, CT 06468  
Telephone: (203) 459-0200



Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Barry R. Lipsitz".

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

**ATTORNEY DOCKET NO.: SOL-134**  
**Date: November 21, 2000**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,015	11/22/2000	Douglas Wong	SOL-134

CONFIRMATION NO. 5163

## FORMALITIES LETTER



\*OC000000005934808\*

Barry R. Lipsitz  
Law Offices of Barry R. Lipsitz  
Building 8  
755 Main Street  
Monroe, CT 06468

Date Mailed: 04/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 8 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 492.

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*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE